IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: David WALLACH et al

Application No.: 10/632,929

Conf. No. 4943

Filed: August 4, 2003

For: TNF BINDING LIGANDS AND ANTIBODIES

JAN 2 1 2004 8

Art Unit: 1644

Examiner:

Washington, D.C.

Atty.'s Docket: WALLACH=10D

OR

OR

Date: January 21, 2004

THE COMMISSIONER OF PATENTS
2011 South Clark Place, Mail Stop Non-Fee Amendment
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

Transmitted herewith is a [ ] Amendment [X] Preliminary Amendment

in the above-identified application.

[ ] Small Entity Status: Applicant(s) claim small entity status. See 37 C.F.R. §1.27.

[XX] No additional fee is required.

[ ] The fee has been calculated as shown below:

|   | (Col. 1)                                  | (Col. 2) | (Col. 3)                              |                            |  |
|---|---|----------|---------------------------------------|----------------------------|--|
|   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |          | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA<br>EQUALS |  |
| TOTAL                                     | • 5                                       | MINUS    | ** 20                                 | . 0                        |  |
| INDEP.                                    | • 3                                       | MINUS    | *** 3                                 | 0                          |  |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |   |          |                                       |                            |  |

|                      | SMALL ENTITY |      |                   |  |
|----------------------|--------------|------|-------------------|--|
|                      |              | RATE | ADDITIONAL<br>FEE |  |
|                      | x            | 9    | \$                |  |
|                      | ×            | 43   | \$                |  |
|                      | +            | 145  | \$                |  |
| ADDITIONAL FEE TOTAL |              |      | \$                |  |

 OTHER THAN SMALL ENTITY

 RATE
 ADDITIONAL FEE

 x
 18
 \$

 x
 86
 \$

 +
 290
 \$

 TOTAL
 \$

If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

- If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

[XX] Conditional Petition for Extension of Time

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

lt is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

|      | Small Entity  | Other Than Small Entity |  |  |  |
|------|---|-------------------------|--|--|--|
|      | Response Filed Within   | Response Filed Within   |  |  |  |
|      | [ ] First - \$ 55.00  | [ ] First - \$ 110.00   |  |  |  |
|      | [ ] Second - \$ 210.00  | [ ] Second - \$ 420.00  |  |  |  |
|      | [ ] Third - \$ 475.00   | [ ] Third - \$ 950.00   |  |  |  |
|      | [ ] Fourth - \$ 740.00  | [ ] Fourth - \$1480.00  |  |  |  |
|      | Month After Time Period Set Month After Time Period   |                         |  |  |  |
| r 1  | [ ] Less fees (\$) already paid for month(s) extension of the second Please charge my Deposit Account No. 02-4035 in the amount of \$ | <del>-</del>            |  |  |  |
| 1 1  | · · ·   |                         |  |  |  |
| [ ]  | Credit Card Payment Form, PTO-2038, is attached, authorizing payment in the amount of \$  |                         |  |  |  |
| [ ]  | A check in the amount of \$ is attached (check no. ).   |                         |  |  |  |
| [XX] | The Commissioner is hereby authorized and requested to charge any add   |                         |  |  |  |

X] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR §1.18.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                              | Atty. Docket: WALLACH=10D |
|------------------------------|---------------------------|
| In re Application of:        | ) Conf. No.: 4943         |
| David WALLACH et al          | ) Art Unit: 1644          |
| Appln. No.: 10/632,929       | ) Examiner:               |
| Filed: August 4, 2003        | ) Washington, D.C.        |
| For: TNF BINDING LIGANDS AND | ) January 21, 2004        |

## PRELIMINARY AMENDMENT

Honorable Commissioner for Patents U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Non-Fee Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

Prior to examination on the merits, please amend as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims that begins on page 3 of this paper.

Remarks/Arguments begin on page 5 of this paper.